

# Diffraction: interpreting diffraction-dominated data

franz.martinache@oca.eu

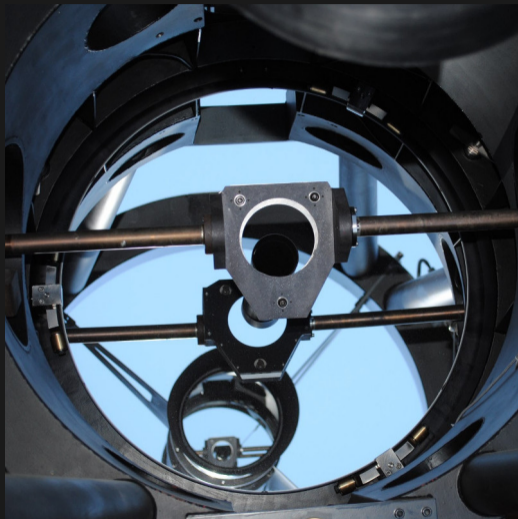
July 11, 2019



# Outline

- 1 Diffraction dominated astronomy**
- 2 Image formation: an interferometric process
- 3 From interferometry to diffractometry
- 4 High contrast
- 5 conclusion

# Astronomical images

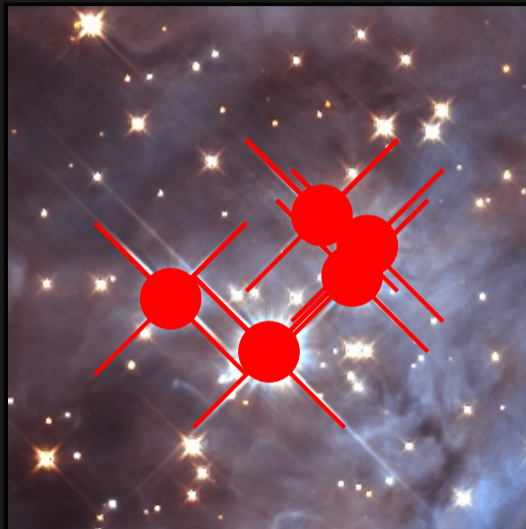


# Image formation

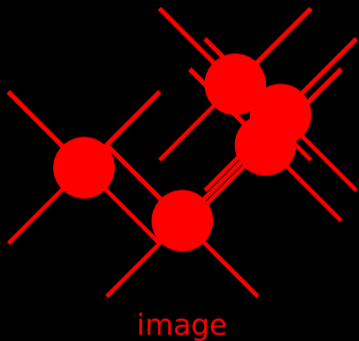




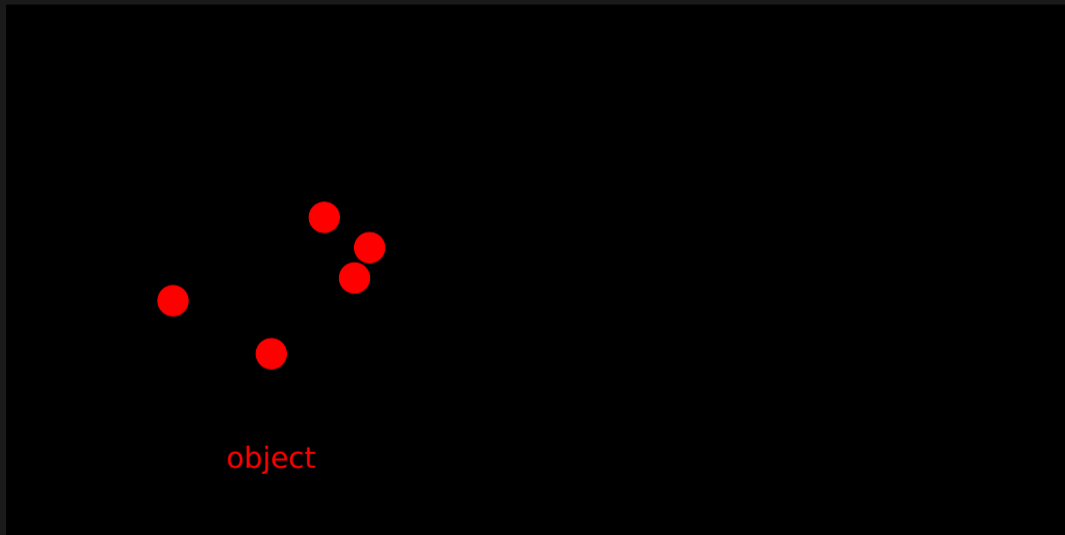
# Image formation



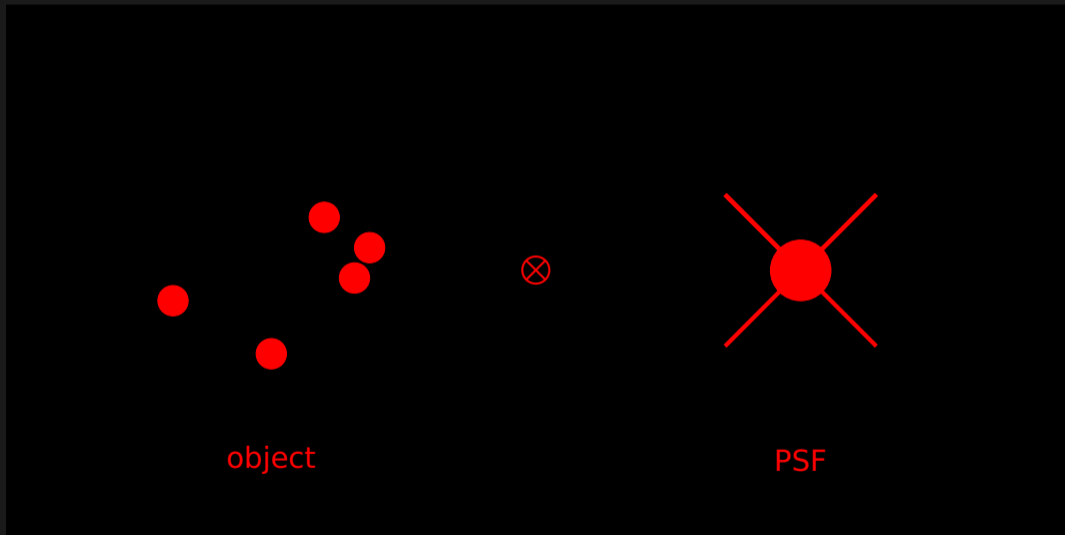
# Image formation



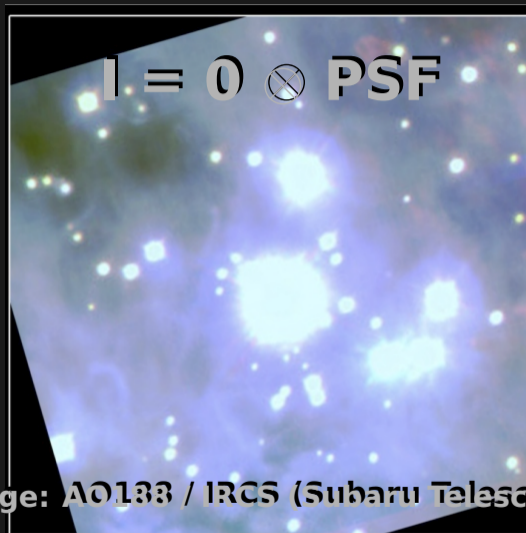
# Image formation



# Image formation



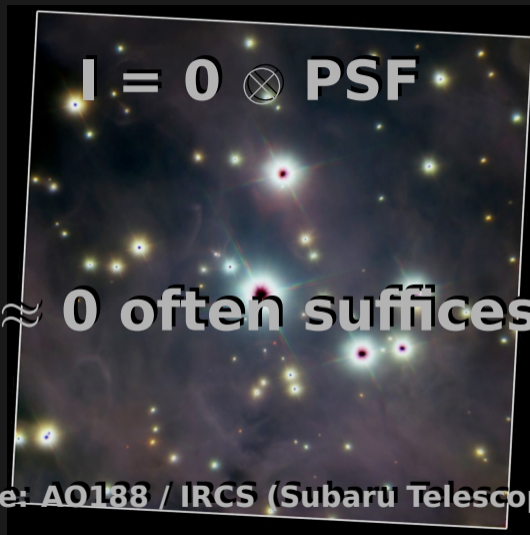
# Diffraction dominated images



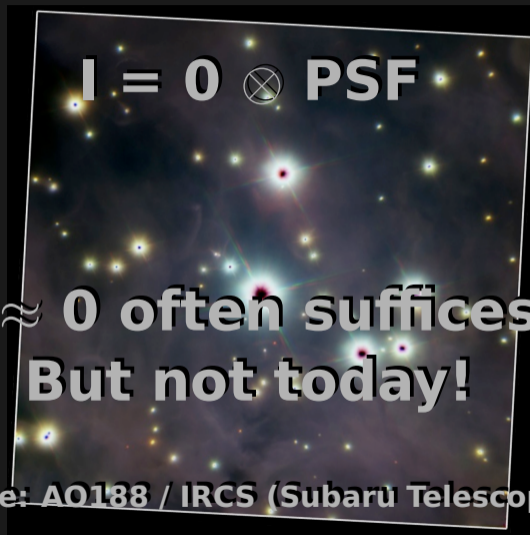
# Diffraction dominated images



# Diffraction dominated images



# Diffraction dominated images



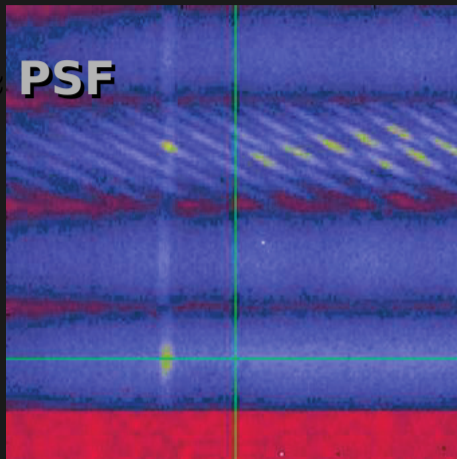


# Diffraction dominated astronomy



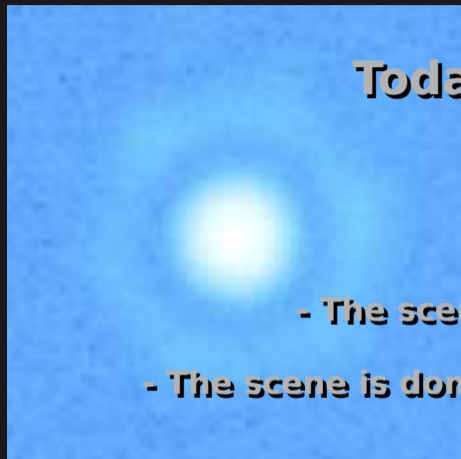
[NIRC2 / Keck]

Today:  $I \approx \text{PSF}$



[AMBER / VLT]

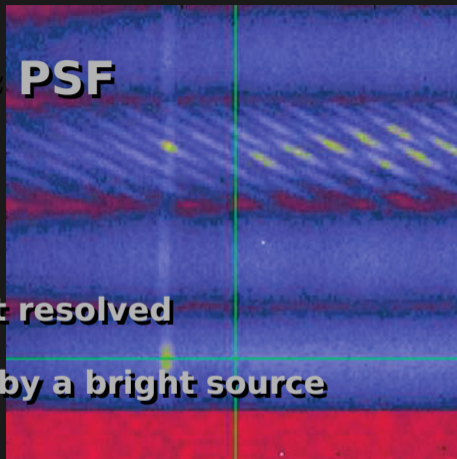
# Diffraction dominated astronomy



[NIRC2 / Keck]

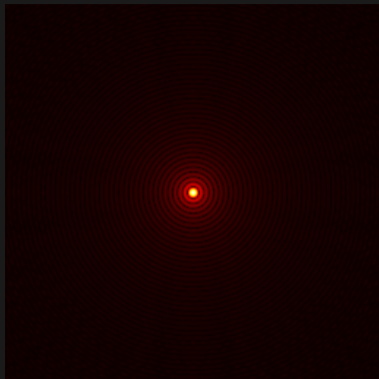
**Today:  $I \approx \text{PSF}$**

- The scene is not resolved
- The scene is dominated by a bright source



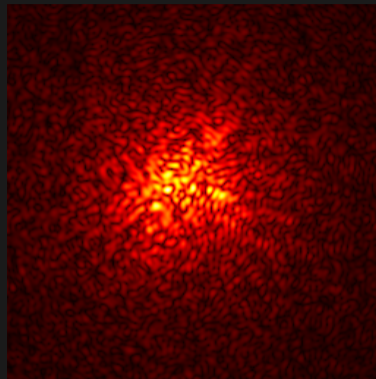
[AMBER / VLT]

# Observing through the atmosphere



Theoretical diffraction-limited  
point spread function.

Unless something is done about it, a **telescope larger than a certain size** (typically  $\sim 0.1$  m) **produces images limited by the seeing**.



Experienced instantaneous seeing-limited  
point spread function

# An old story

## Opticks, Isaac Newton (1704)

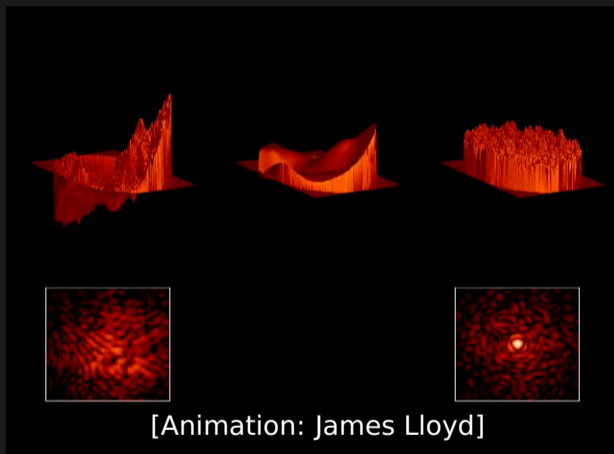
“If the Theory of making Telescopes could at length be fully brought into Practice, yet there would be certain Bounds beyond which Telescopes could not perform. **For the Air through which we look upon the Stars, is in a perpetual Tremor [...]**

The only Remedy is a most serene and quiet Air, such as may perhaps be found on the tops of the highest Mountains above the grosser Clouds.”

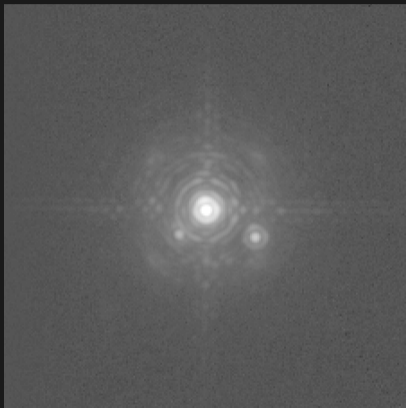
*Book I, Prop. VIII, Prob. II*



# Turbulence filtering with Adaptive Optics



# The Strehl ratio



A very nice AO corrected image

Image quality is often summarized by a single number: the Strehl ratio.

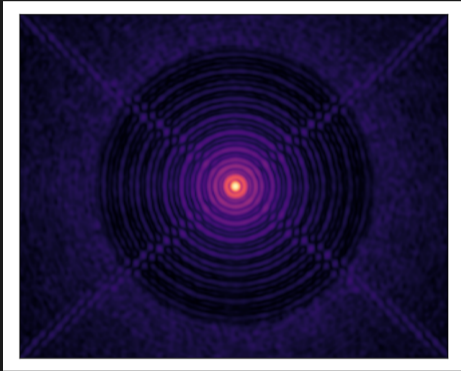
Maréchal approximation:

$$S \approx \exp\left(-\left(2\pi\sigma/\lambda\right)^2\right)$$

In the near-IR:

RMS aberration	Strehl
$\sigma = 200$ nm	$S \sim 0.5$
$\sigma = 100$ nm	$S \sim 0.86$
$\sigma = 50$ nm	$S \sim 0.96$

# imaging through residual turbulence



- $I(t) = O \otimes \text{PSF}(t)$
- the PSF dominates the signal recorded
- the signal  $O$  is a **weak** perturbation
- small time-varying changes in the PSF dominate

It's a tricky problem → let's simplify it

# Outline

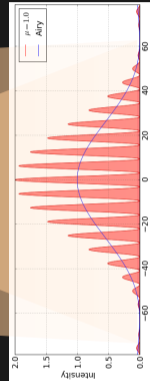
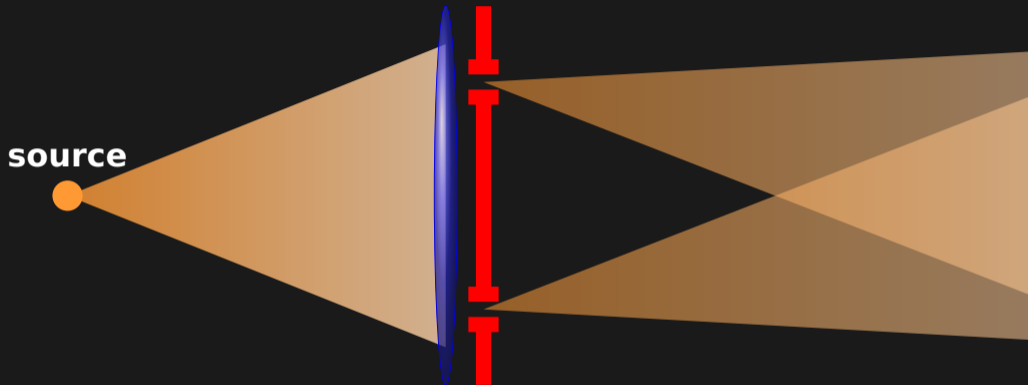
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# Simplify the interpretation of images

telescope + mask

fringes

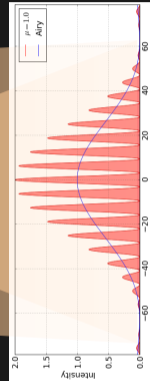
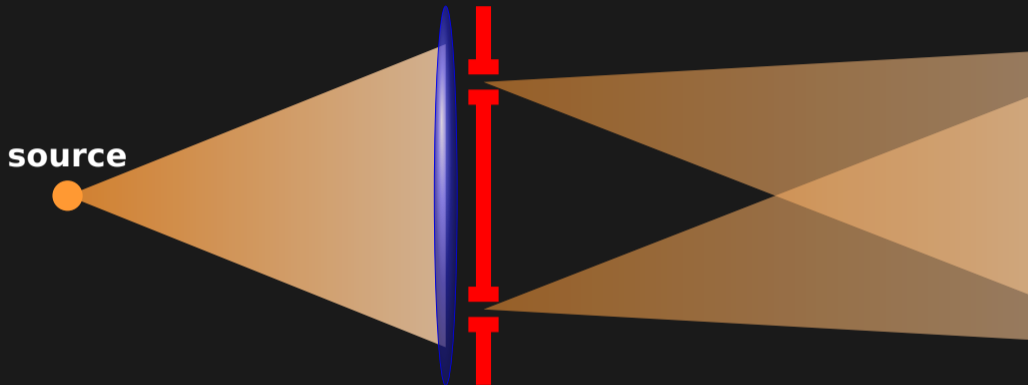


By simplifying the diffractive aperture!

# Simplify the interpretation of images

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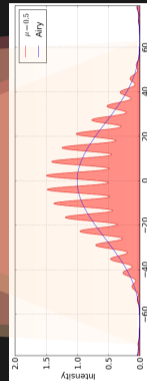
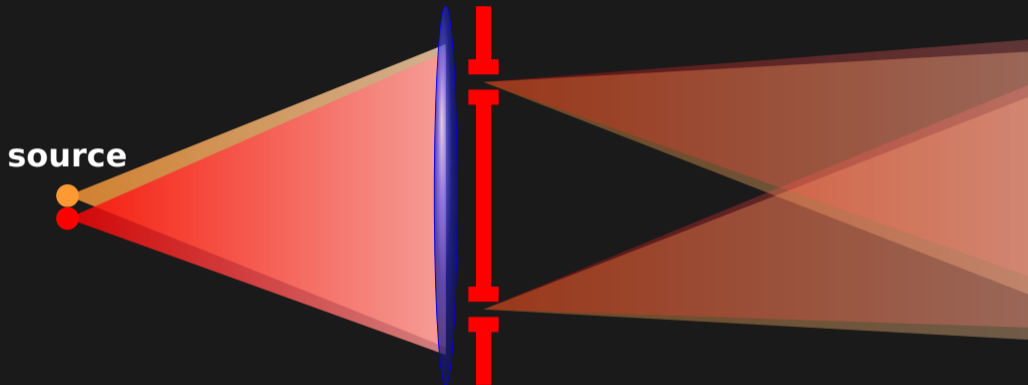
By simplifying the diffractive aperture!

$$I(x) = I_0 \times \left( 1 + \mu \cos(kx - \phi) \right)$$

# Interferometric measurements

telescope + mask

fringes

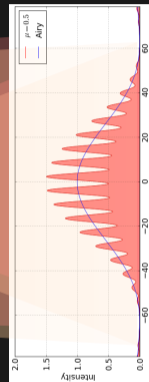
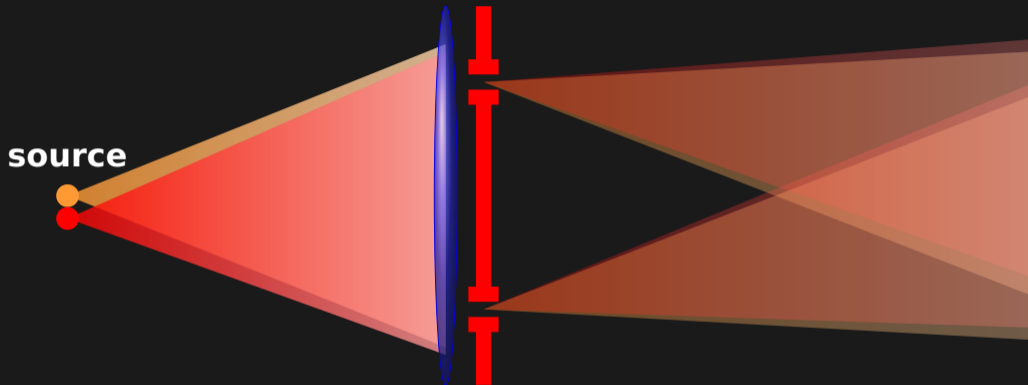


$$I(x, t) = I_0 \times \left( 1 + \mu \cos(kx - \phi + \Delta\varphi(t)) \right)$$

# Interferometric measurements

telescope + mask

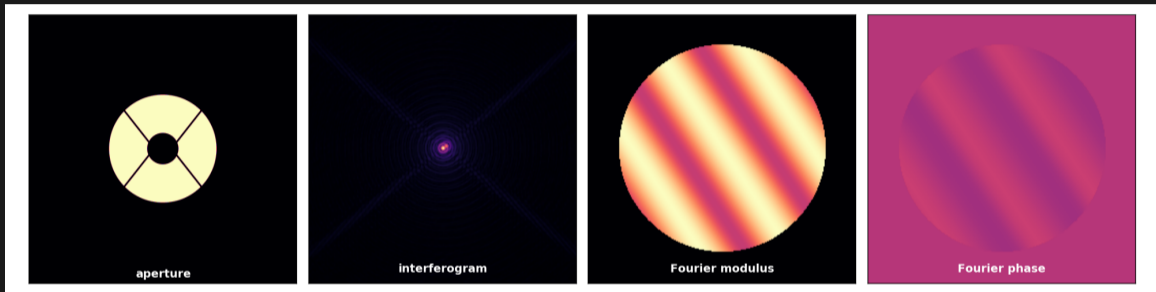
fringes



$$I(x, t) = I_0 \times \left( 1 + \mu \cos(kx - \phi + \Delta\varphi(t)) \right)$$

Even if perturbations are still present

# The image and its Fourier counterpart

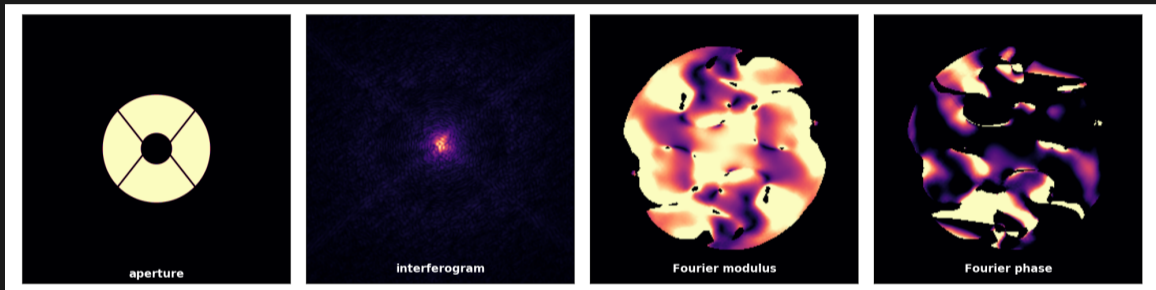


The pupil

the image

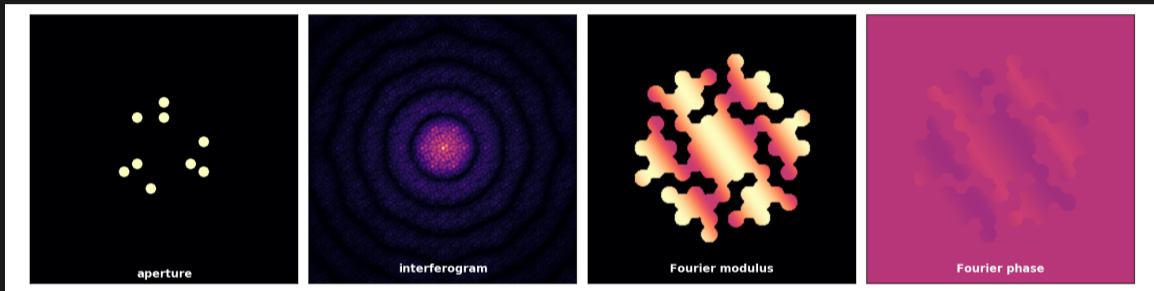
The image Fourier-transform

# Perturbed spatial frequencies

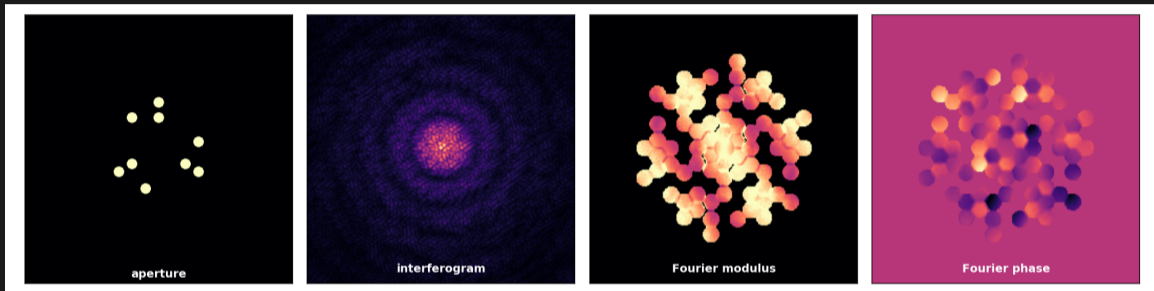


An image and its Fourier transform in the presence of aberrations

# Sparse aperture: simplified interpretation



# Sparse aperture: simplified interpretation

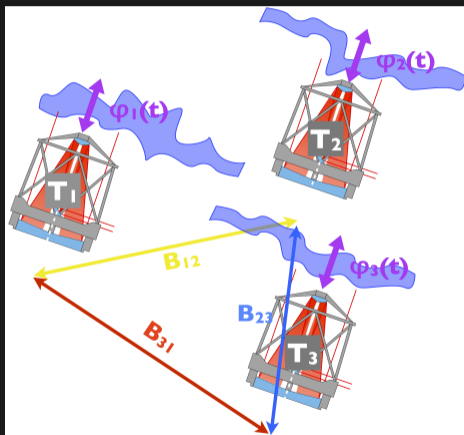


Even in the presence of turbulence:  
Non-redundant aperture  $\rightarrow$  visibility modulus  
Phase information however still lost





# Phase and closure-phase



$$\phi(1-2) = \phi_O(1-2) + (\varphi_1 - \varphi_2)$$

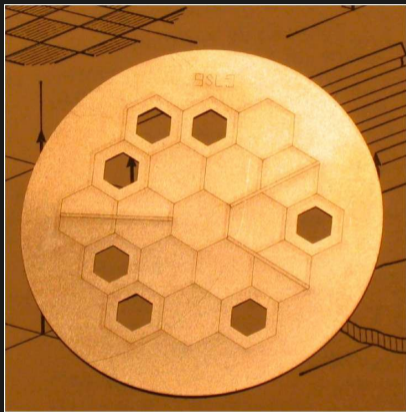
$$\phi(2-3) = \phi_O(2-3) + (\varphi_2 - \varphi_3)$$

$$\phi(3-1) = \phi_O(3-1) + (\varphi_3 - \varphi_1)$$

The sum of these three terms is independent from the perturbation term: hail the closure-phase!

Jennison, 1958

# More complex apertures?



Interferometric mask of JWST  
Sivaramakrishnan et al, 2010

- $n_A$ : the number of sub-apertures
- $n_B$ : the number of baselines
- $n_C$ : the number of closure-phases

- $n_B \leq n_A \times (n_A - 1) / 2$
- $n_C \leq (n_A - 1) \times (n_A - 2) / 2$

Are all added baselines equal?

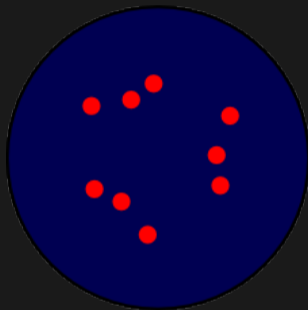
See following interactive tools:

- Interferometric Synthetic PSF
- UV coverage

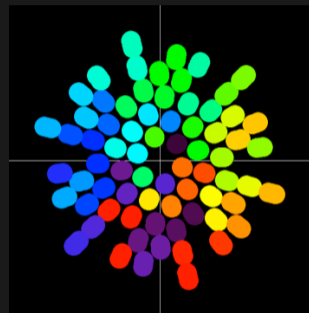
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# Interferometry without a sparse aperture mask?

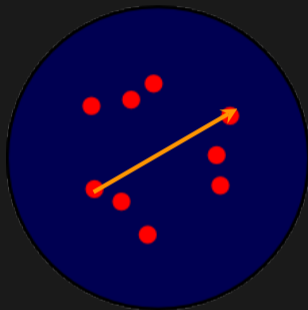


**Non-redundant**

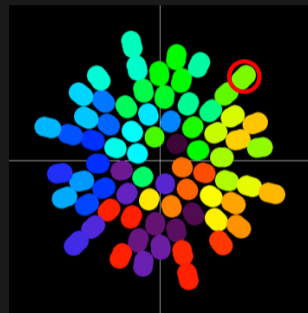


**uv coverage**

# Interferometry without a sparse aperture mask?

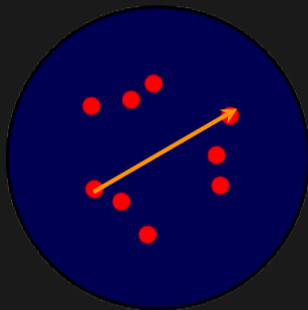


**Non-redundant**



**uv coverage**

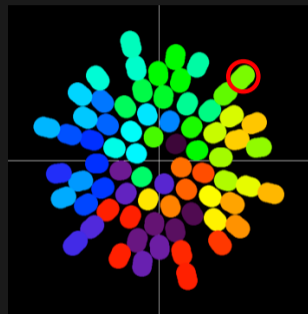
# Interferometry without a sparse aperture mask?



**Non-redundant**

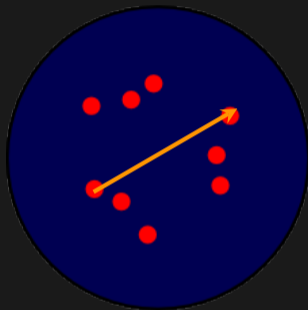


**full aperture**



**uv coverage**

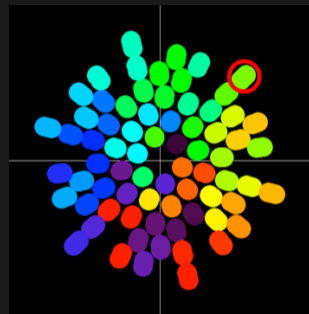
# Interferometry without a sparse aperture mask?



**Non-redundant**



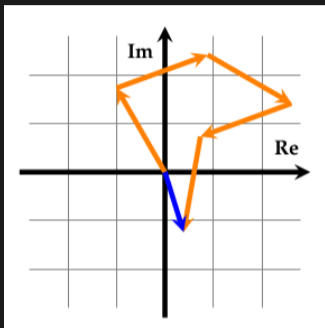
**full aperture**



**uv coverage**

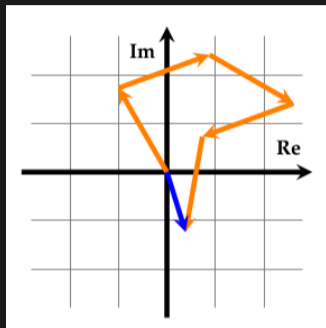


# Redundancy

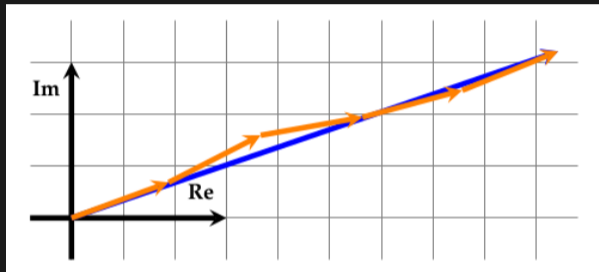


Each phasor contains a strong random component. The measured phase is useless.

# Redundancy

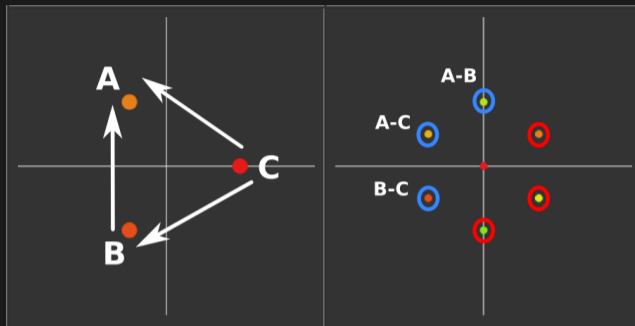


Each phasor contains a strong random component. The measured phase is useless.



With Adaptive Optics, phasors do line up. The phase of the sum of these phasors is a good proxy for the true phase.

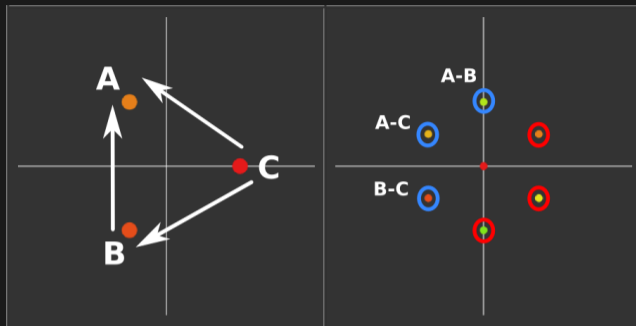
# Elementary model 1: triangle



## phase relations

- $\Phi(A-B) = \Phi(A-B)_0 + (\varphi_A - \varphi_B)$
- $\Phi(A-C) = \Phi(A-C)_0 + (\varphi_A - \varphi_C)$
- $\Phi(B-C) = \Phi(B-C)_0 + (\varphi_B - \varphi_C)$

# Elementary model 1: triangle - revised



## linear model

$$\Phi = \Phi_0 + \mathbf{A} \cdot \varphi$$

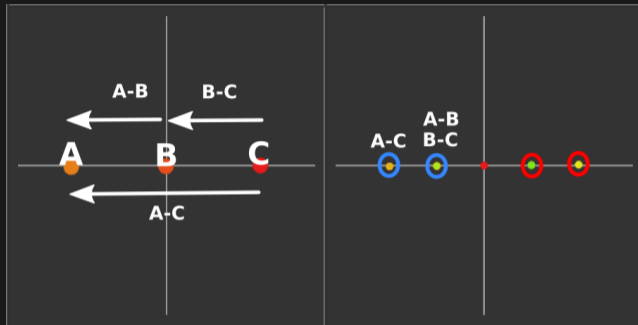
$$\mathbf{A} = \begin{bmatrix} 1 & -1 & 0 \\ 1 & 0 & -1 \\ 0 & 1 & -1 \end{bmatrix}$$

## kernel = closure-phase

$$\mathbf{K} = [ 1 \quad -1 \quad 1 ]$$

Verifies  $\mathbf{K} \cdot \mathbf{A} = 0$

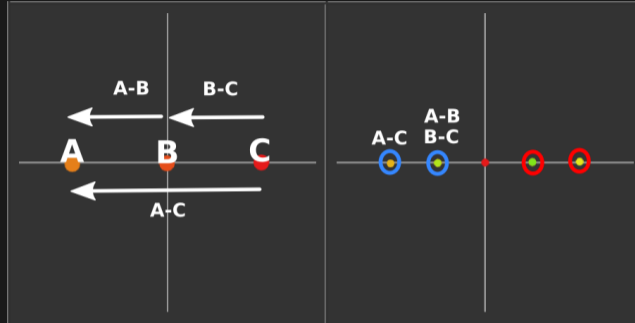
## Elementary model 2: in line



### phase relations

- $\Phi(A - C) = \Phi(A - C)_0 + (\varphi_A - \varphi_C)$
- $\Phi(B - C) = \text{Arg} \left( e^{i(\Phi_0 + (\varphi_A - \varphi_B))} + e^{i(\Phi_0 + (\varphi_B - \varphi_C))} \right)$

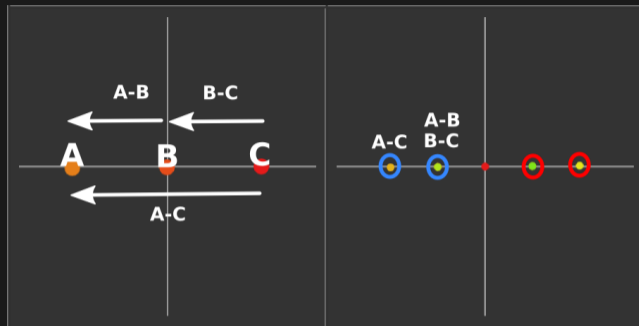
# Elementary model 2: in line - linearised



## linearized relations

- $\Phi(A - C) = \Phi(A - C)_0 + (\varphi_A - \varphi_C)$
- $\Phi(B - C) \approx \Phi(B - C)_0 + \mathbf{1/2} \times (\varphi_A - \varphi_C)$

# Elementary model 2: in-line - revised



## linear model

$$\Phi = \Phi_0 + \mathbf{R}^{-1} \cdot \mathbf{A} \cdot \varphi$$

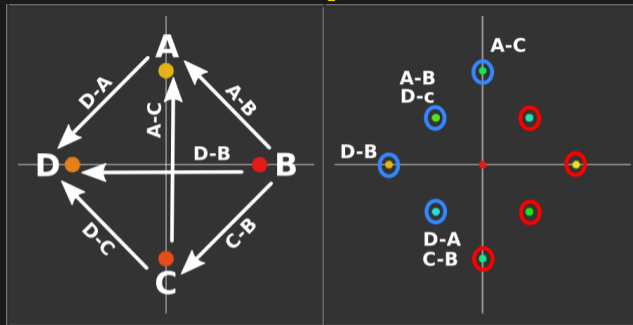
$$\mathbf{A} = \begin{bmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \end{bmatrix} \mathbf{R} = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}$$

## kernel

$$\mathbf{K} = \begin{bmatrix} 1 & -2 \end{bmatrix}$$

$$\text{Verifies } \mathbf{K} \cdot \mathbf{R}^{-1} \cdot \mathbf{A} = 0$$

# Elementary model 3: the square



## linear model

$$\mathbf{R} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \mathbf{A} = \begin{bmatrix} 0 & -1 & 0 & 1 \\ 1 & -1 & -1 & 1 \\ -1 & -1 & 1 & 1 \\ 1 & 0 & -1 & 0 \end{bmatrix}$$

## kernels

$$\mathbf{K} = \begin{bmatrix} 1 & -2 & 0 & 1 \\ 1 & 0 & -2 & -1 \end{bmatrix}$$



# Revisit the convolution relation

The general Fourier-component phase equation:

$$\phi^k = \phi_0^k + \text{Arg} \left( \sum_{i=0}^r \exp(j\Delta\varphi_i^k) \right),$$

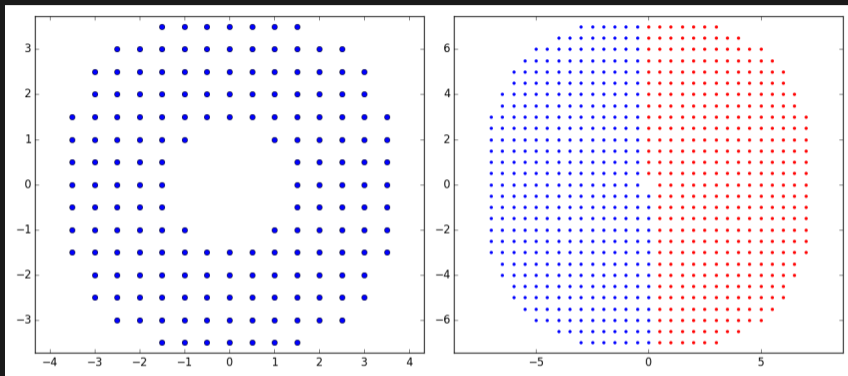
can be linearized in the presence of AO:

$$\phi^k \approx \phi_0^k + \frac{1}{r} \sum_{i=0}^r \Delta\varphi_i^k$$

The image object convolution relation can be reformulated:

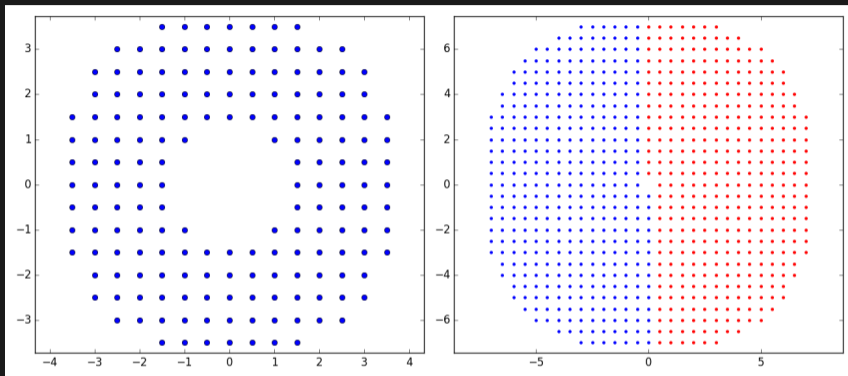
$$I = O \otimes PSF \rightarrow \Phi = \Phi_0 + \mathbf{R}^{-1} \cdot \mathbf{A} \cdot \varphi$$

# A different way to look at AO-corrected images



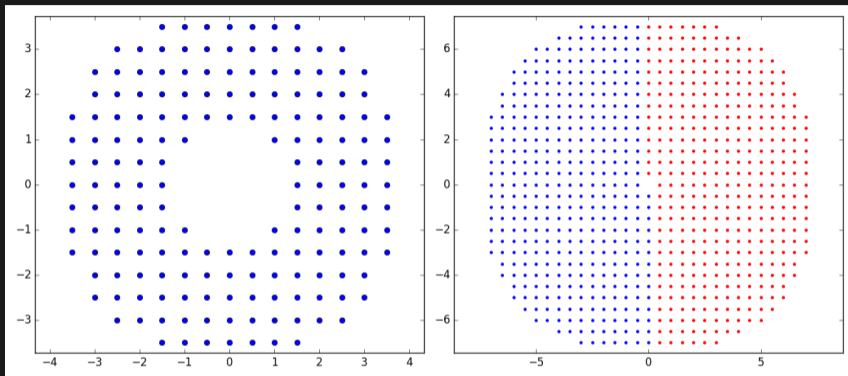
8-m aperture continuous aperture

# A different way to look at AO-corrected images



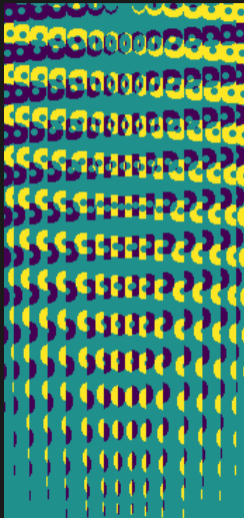
8-m aperture continuous aperture  
discretized into a 172-aperture redundant interferometer

# A different way to look at AO-corrected images



8-m aperture continuous aperture  
discretized into a 172-aperture redundant interferometer  
forming 366 distinct baselines  
A is a 366x172 matrix :  $\phi = \phi_0 + A \cdot \varphi$

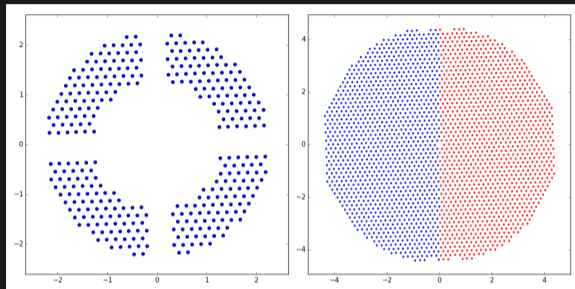
# The properties of A



- A is rectangular, sparse
- only contains -1 and +1 (in addition to zeros)
- SVD  $\rightarrow$  rank and size of null space
- but in general:
- if the aperture is symmetric:
  - ▶  $\text{rank}(A) = n_A / 2$
  - ▶  $n_K = n_{UV} - n_A / 2$
- if the aperture is not symmetric
  - ▶  $\text{rank}(A) = n_A - 1$
  - ▶  $n_K = n_{UV} - n_A - 1$

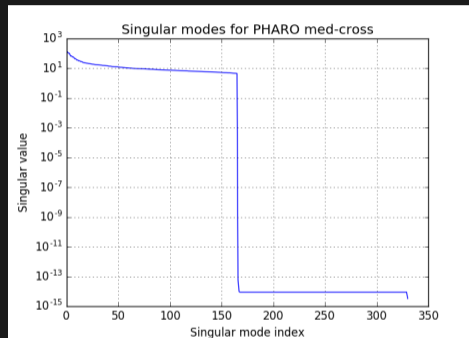
Since  $n_{UV} > n_A$ : there is always a kernel. A telescope with a symmetric aperture will result in more kernels.

# The properties of the telescope



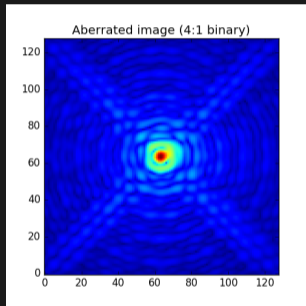
$$\mathbf{A} = \mathbf{U} \cdot \Sigma \cdot \mathbf{V}^T$$

$$\mathbf{U}^T \cdot \mathbf{A} = \Sigma \cdot \mathbf{V}^T$$

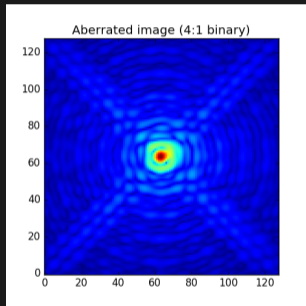


The columns of  $\mathbf{U}$  associated to 0 singular values form the kernel  $\mathbf{K}$

# A good observable



# A good observable

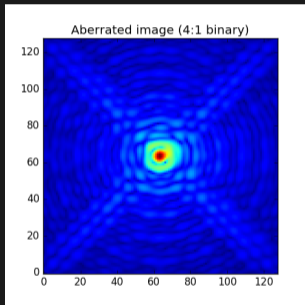


FT

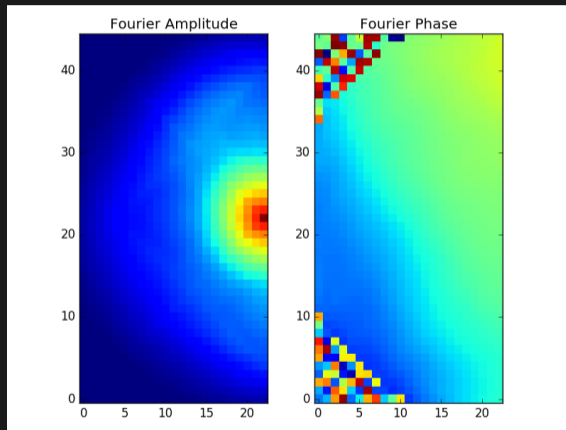




# A good observable

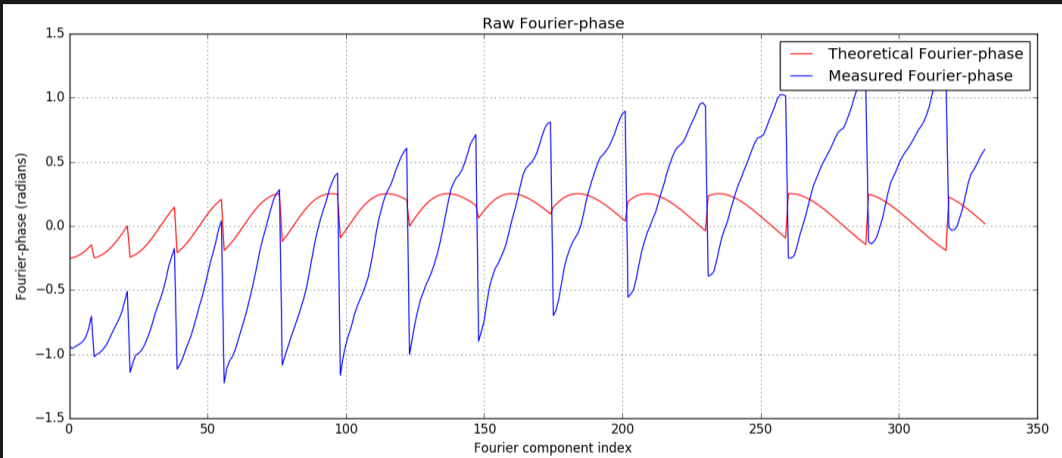


FT



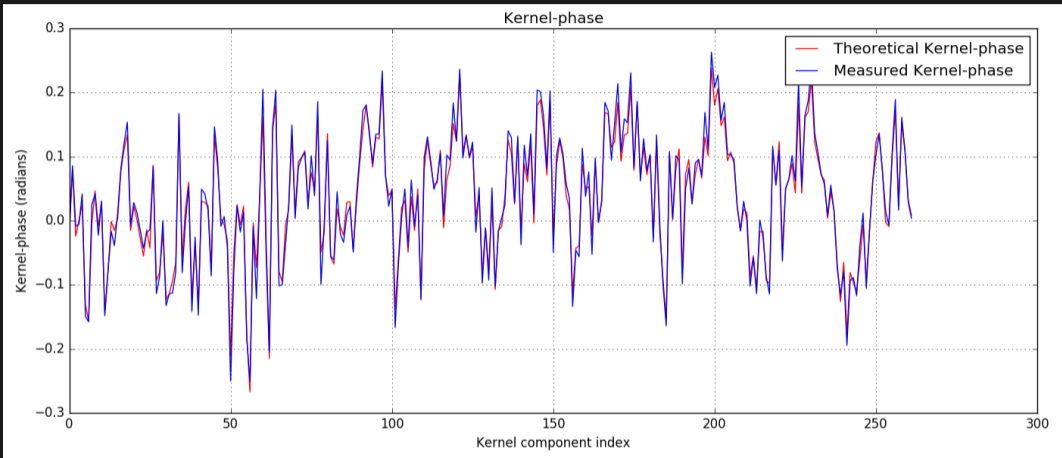
phase: remains dominated by aberrations

# A good observable



**the raw phase  $\phi$ : useless information**

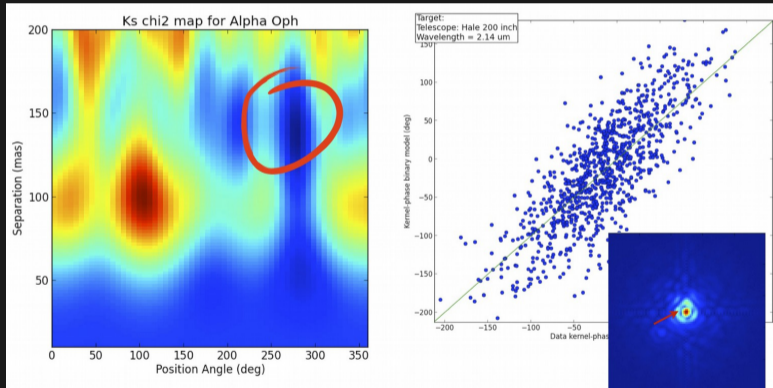
# A good observable



**kernels  $K \cdot \phi$  : 100 % usable information!**

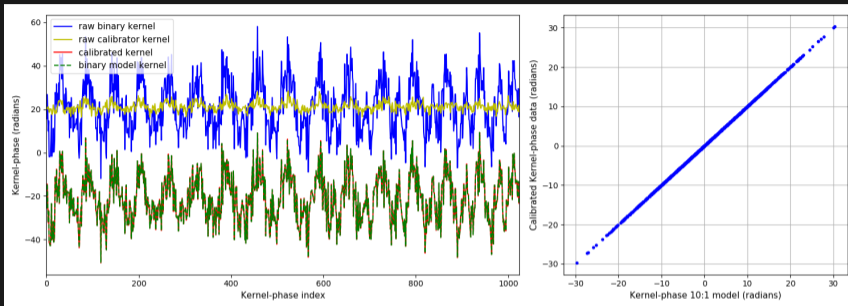
# Model-fitting

- The "simplest" scenario: binary detection.
- 3-parameter model:  $\rho$ ,  $\theta$ ,  $c$  (separation, P.A., contrast)
- $\chi^2$  minimization



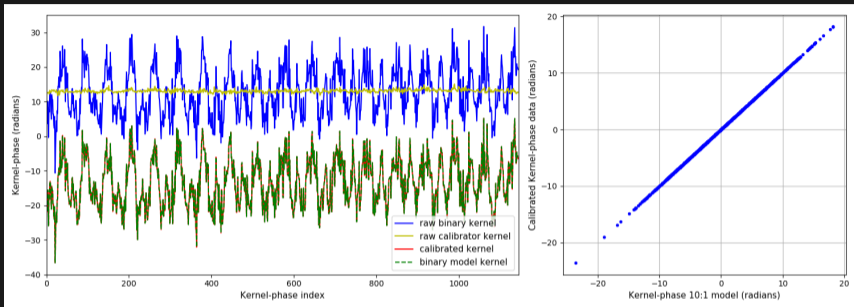
→ Interferometric Image reconstruction

# Today's challenges



- Better description of the aperture
  - ▶ Discretization strategies
  - ▶ Variable local transmission
- The ability to handle unfriendly scenarios:
  - ▶ saturated data
  - ▶ larger amplitude aberrations
  - ▶ difficult detector cosmetic effects

# Today's challenges



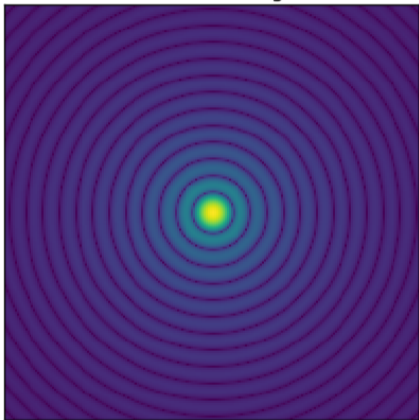
- Better description of the aperture
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# Outline

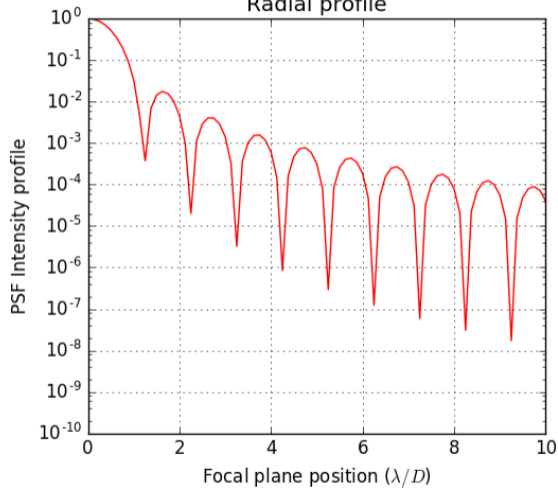
- 1 Diffraction dominated astronomy
- 2 Image formation: an interferometric process
- 3 From interferometry to diffractometry
- 4 High contrast**
- 5 conclusion

# The high-contrast problem

Ideal PSF image



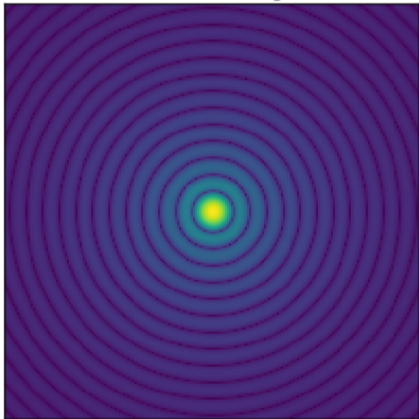
Radial profile



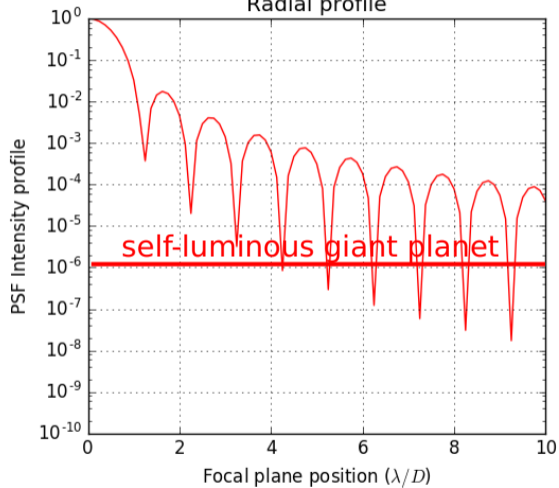


# The high-contrast problem

Ideal PSF image

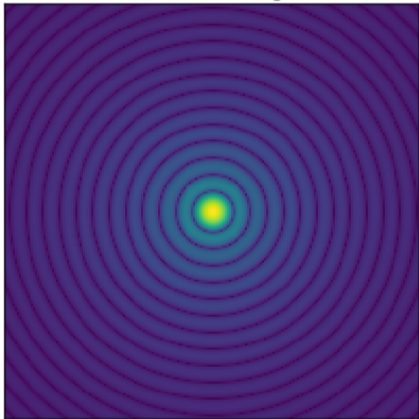


Radial profile

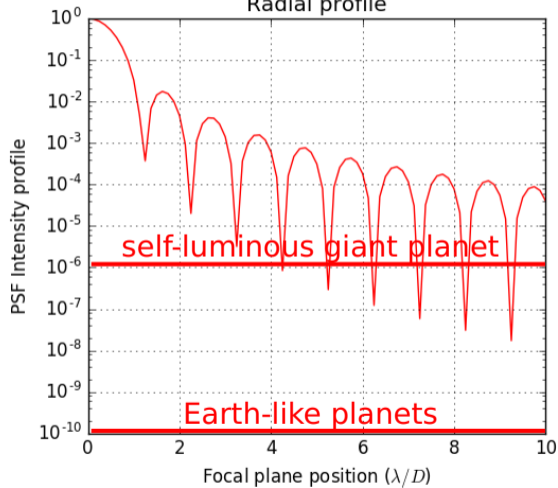


# The high-contrast problem

Ideal PSF image

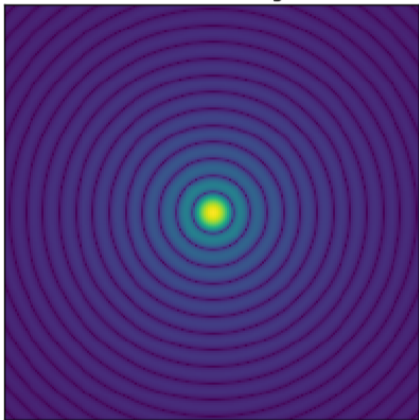


Radial profile

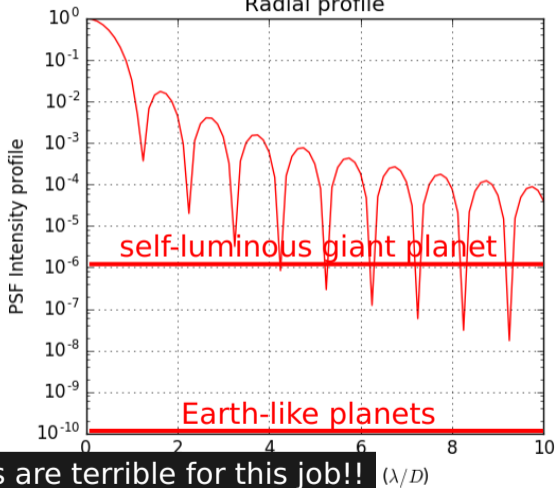


# The high-contrast problem

Ideal PSF image



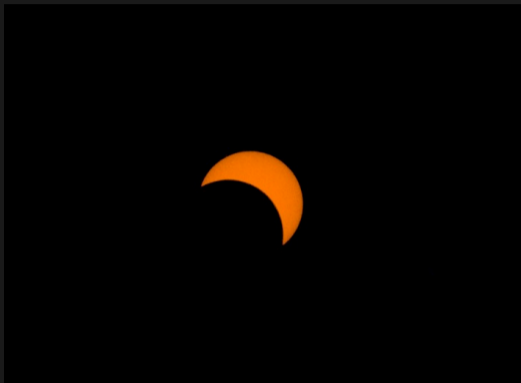
Radial profile



Imaging telescopes are terrible for this job!!  $(\lambda/D)$

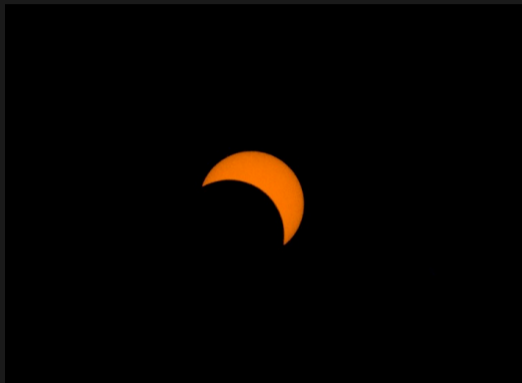
# Coronagraphy

# Coronagraphy



[Image by O. Lardière]

# Coronagraphy

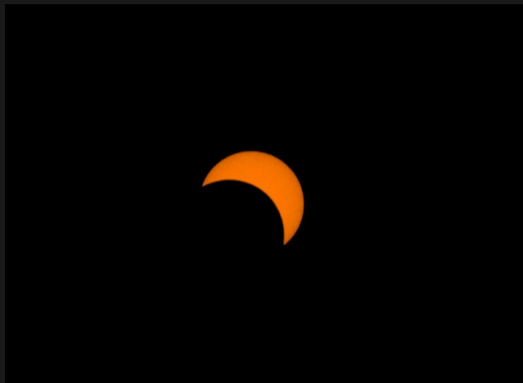


[Image by O. Lardière]



[Image by O. Lardière]

# Coronagraphy



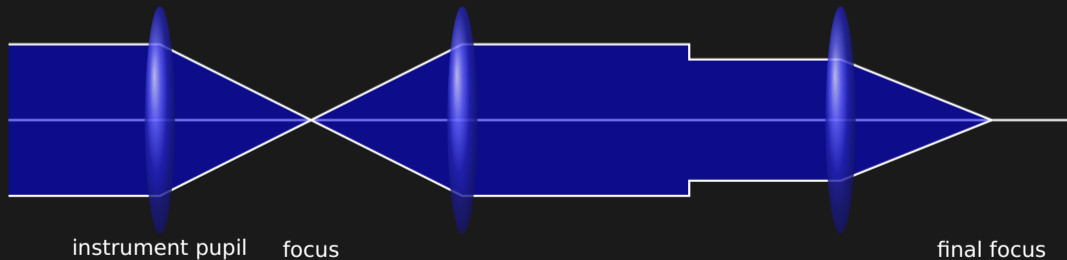
[Image by O. Lardière]



[Image by O. Lardière]

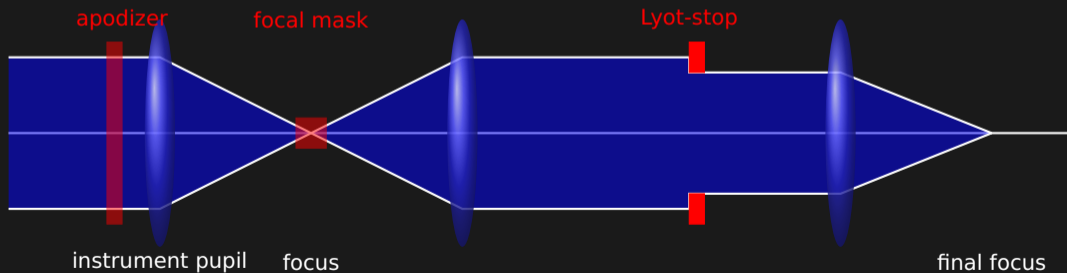
Optically replicate the eclipse phenomenon

# Possible implementation

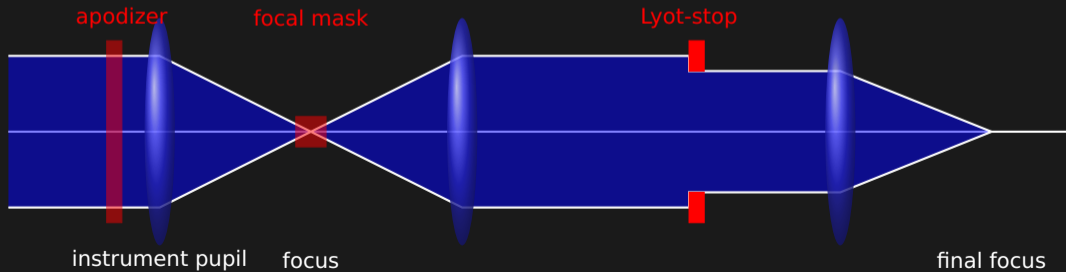
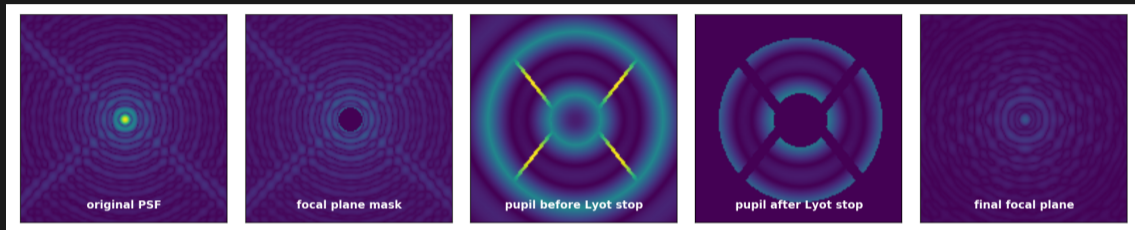




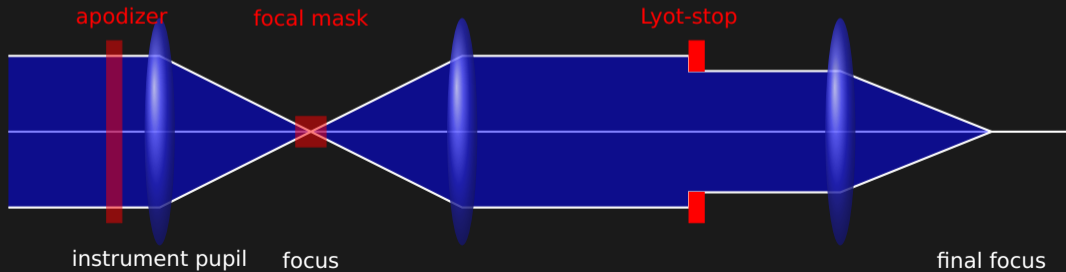
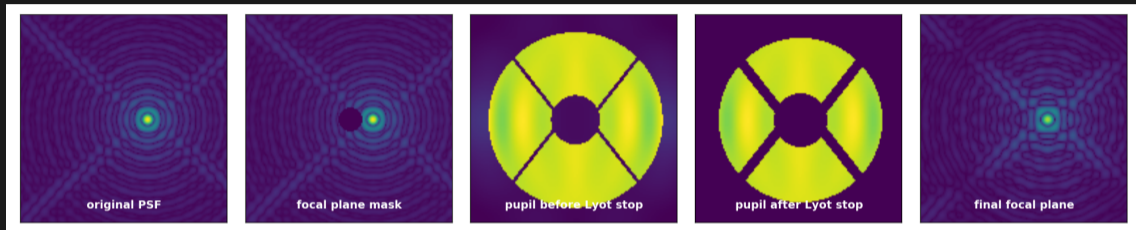
# Possible implementation



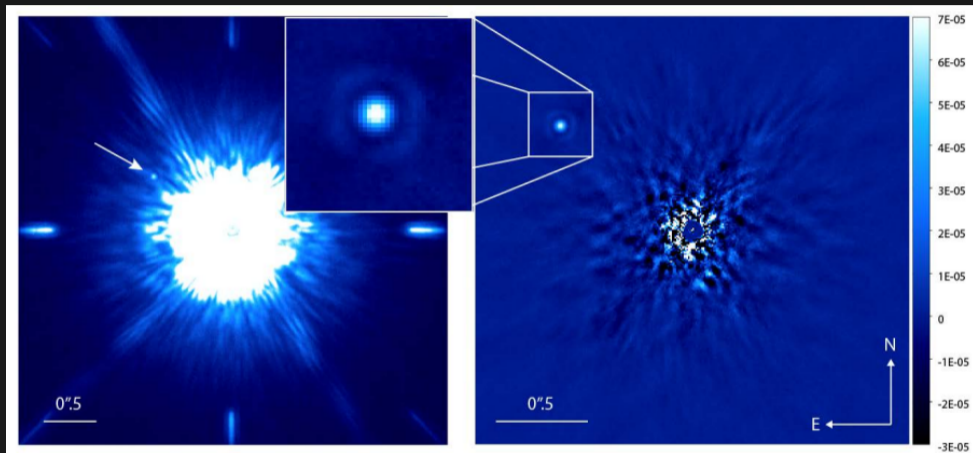
# Possible implementation



# Possible implementation



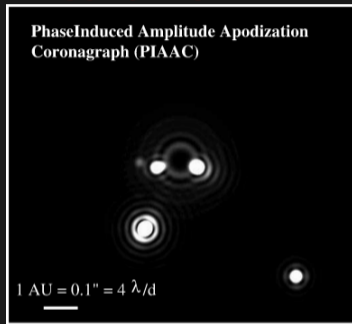
# State of the art



Post-processing (partly) saves the day

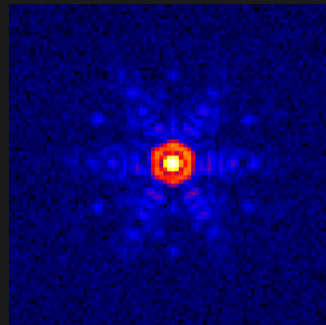
# The high-contrast imaging dual challenge

## CORONAGRAPHY



Photon noise ✓  
Phase noise ✗

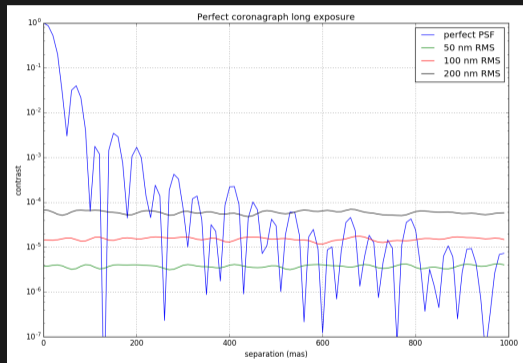
## KERNEL



Photon noise ✗  
Phase noise ✓

Can we combine the two approaches?

# The trick is...



*The ideal coronagraph*

In the presence of a high-contrast device, errors are quadratic:

$$c \sim (2\pi\sigma/\lambda)^2$$

- PSF is not translation invariant
- the problem is non-linear
- the problem is degenerate
- lots of covariance

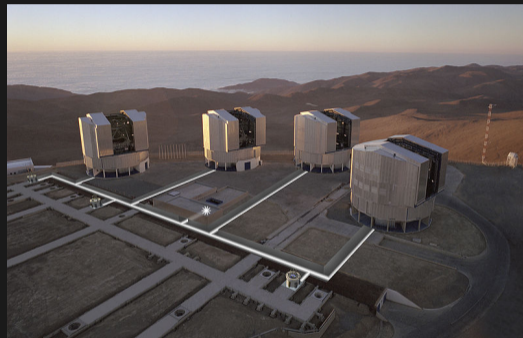
**kernel-coronagraphy** is a high-dimension problem that is just hard to write

# Simplify the problem by going sparse!

How simple?

- Even number of aperture for destructive interferences
- At least three apertures in order to produce a closure

→ Four sounds about right!



[VLTI [Credit: ESO]]

# Nulling... in theory

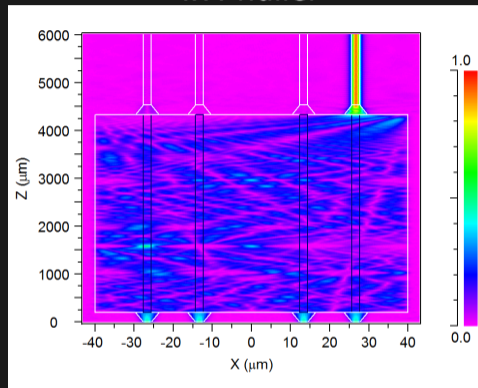
$$\mathbf{N} = 0.5 \times \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{bmatrix}$$

- Four input beams
- One bright output
- Three **dark** outputs

Photons of off-axis sources coupled in the dark outputs

Still sensitive to perturbations.

4x4 nuller



Integrated optics technology option  
MMI design by Harry-Dean Kenchington  
Goldsmith, ANU



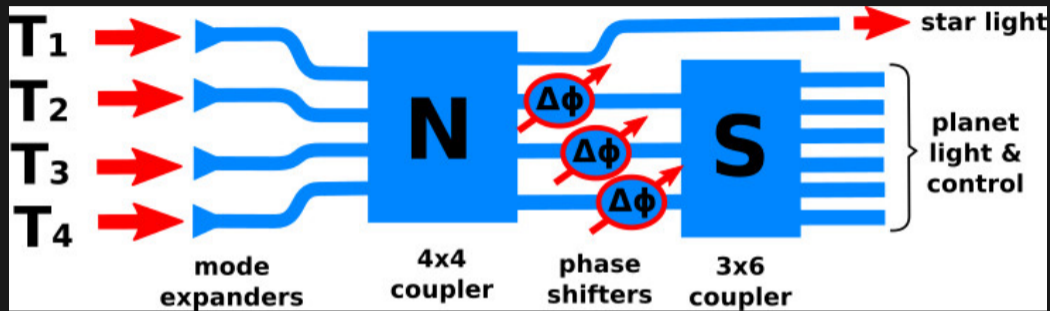
# System response to perturbation is quadratic

- Nuller error dominated by second order errors
- Modify the instrument design to produce kernels of these perturbations

$$\delta \mathbf{I} = \mathbf{A} \times \left[ \frac{\partial^2 \mathbf{I}}{\partial \varphi_1^2}, \frac{\partial^2 \mathbf{I}}{\partial \varphi_2^2}, \frac{\partial^2 \mathbf{I}}{\partial \varphi_3^2}, \frac{\partial^2 \mathbf{I}}{\partial \varphi_1 \partial \varphi_2}, \frac{\partial^2 \mathbf{I}}{\partial \varphi_1 \partial \varphi_3}, \frac{\partial^2 \mathbf{I}}{\partial \varphi_2 \partial \varphi_3} \right]^T. \quad (1)$$

- record a new 2<sup>nd</sup> order perturbation response matrix  $\mathbf{A}$
- and find a kernel for this matrix

# Nuller → Kernel-nuller

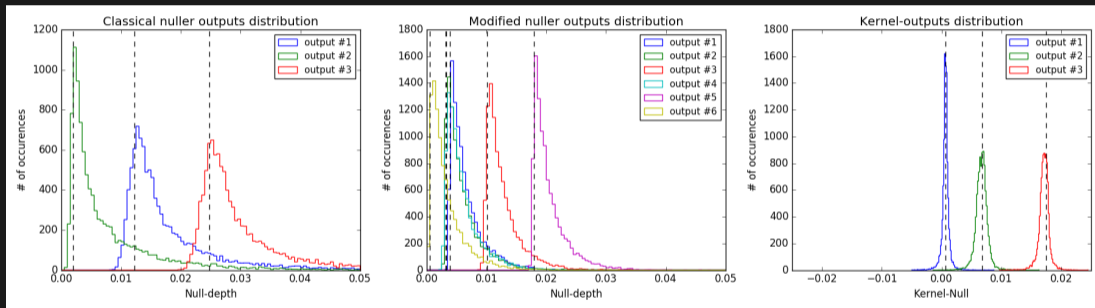


The innovation: a 2<sup>nd</sup> stage, a **scrambling** unit that:

- makes the outputs respond to perturbation in an asymmetric manner
- builds kernels: observables **robust against second order piston errors**

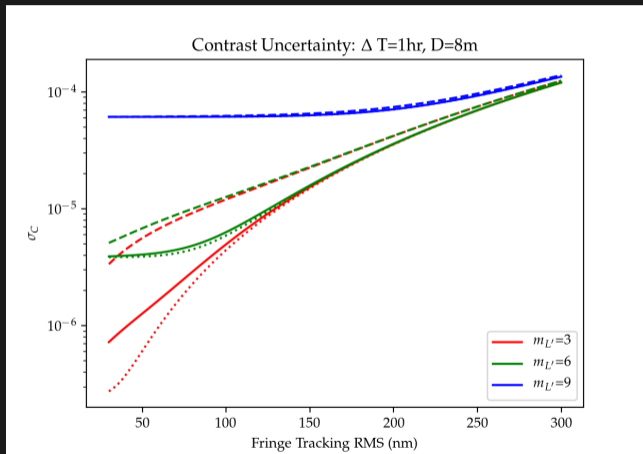
# Kernel-nulled outputs: robustness is possible

In the presence of piston residual errors



kernels filter out second order errors

# VIKiNG contrast detection limits



Phase induced contrast leaks:

$$c \sim (2\pi\sigma/\lambda)^3$$

5- $\sigma$  L-band (4-UTs) contrast detection limits.

Performance depends on:

- cophasing stability
- star magnitude (compete with sky background)
- injection stability (AO correction)

Planets are within our grasp

# Outline

- 1 Diffraction dominated astronomy
- 2 Image formation: an interferometric process
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- 4 High contrast
- 5 **conclusion**

# A new playground for Artificial Intelligence?

Many signal processing considerations:

- discretization
- detection (statistical tests)
- non-linear problems
- inverse problems (interferometric image reconstruction)
- instrument design guided by signal processing ideas

A playground for Artificial Intelligence?

- adaptive optics predictive control?
- AO telemetry and images for better post-processing?
- large observing multi-epoch campaigns (lots of data)
- telescope observing scheduling
- interferometric beam combiner design?

